



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

October 06, 2021

Cody Solverson
CAMBRIDGE INVESTMENT RESEARCH, INC.
14802 W 93rd Terrace
Lenexa KS 66215

Reference: **FR2021-0915-0033/E**

Org Id: 39543

1. RetirementRoadMap
Rule: FIN 2210
7 Minutes

Total Fee: \$125

Dear Mr. Solverson,

The submitted communication appears consistent with applicable standards, however we have the following comment:

Please be advised that our review is limited to the content in this submission and does not extend to the tool referenced (“Income Conductor”) in the communication. Please be advised, it remains the firm’s responsibility that the tool mentioned in the material, complies with standards set forth in FINRA Rule 2210(d)(1)(F).

Reviewed by,

Jeffrey R. Salisbury
Principal Analyst

FINRA will host a free live webinar on October 12, 2021 titled “Ask FINRA Advertising Regulation Senior Staff” to answer questions on how to embrace the future of communications while remaining compliant. To register please access the webpage at <https://www.finra.org/events-training/webinars/ask-finra-advertising-senior-staff-webinar>. Also, on October 5, FINRA will offer a virtual conference panel titled “Back to Basics: Fundamentals of FINRA Rule 2210” that can be viewed free on demand on FINRA.org.



Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*